Course Materials

I will e-mail you PowerPoint slides so you may follow the lectures. Additionally, we will be evaluating some specific strategies and for those exercises I will be providing handouts. There will be no required textbook, but will have brief exercises that I distribute.

Course Grade

The majority of the students opt for no grade requested or credit/no credit versus a grade. For those of you who would like a grade, you will need to submit a completed wealth plan. Your plan should include the following:

1) Cash Flow Analysis - Income and Budgeted Expenses (Projected)
2) Investment Policy Statement with Asset Allocation Targets
3) Value Statement
4) Mission Statement

Course Outline

JUNE 23, 2014

Lecture:
1) Wealth Management Overview
2) Goal Setting
3) Risk - Tolerance, Gap Analysis, Types
4) Diversification
5) Asset Allocation
6) Rates of Return - Arithmetic, Geometric, IRR, Nominal vs. Real
7) Investment Policy Statement

Handouts: Family Records Organizer, Investment Policy Statement, and Assessing Your Risk Tolerance

JUNE 30, 2014

Lecture:
Course Outline

**JULY 7, 2014**

**Lecture:**
1) Family Governance
2) Philanthropy
3) Equities
4) Market Mechanics
5) Analytical Measures
6) Stock Options
   - Employee
   - Listed (Calls and Puts)

**Handouts:** Values Based Questions, Family Values, Family Mission Statement, S&P Research Report, and Annual Returns for Major Indices

**JULY 14, 2014**

**Lecture:**
1) Behavioral Finance
2) Market Timing
3) Wealth Preservation
   - Term Insurance
   - Whole Life Insurance
   - Irrevocable Life Insurance Trust
4) Estate Planning
   - Will
   - Trust
   - Advance Directive
   - Power of Attorney
5) Fixed Income
Handouts: Confronting Mortality, Personality Type, Fixed Income Evaluation

Course Outline

JULY 21, 2014

Lecture:
1) Wealth Management - 7 Principals
2) Benchmarking and Tracking
3) Mutual Funds
4) Investment Ethics
5) Alternative Investments
   - Venture Capital
   - Hedge Funds
   - Exchange Funds

Handout: Morningstar - Fidelity Magellan